

# 34

Attorney Docket No. 10013499-1  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Joubert Berger, et al.

Serial No.: 09/896,385

Filed: June 29, 2001

For: SYSTEM AND METHOD FOR  
MANAGEMENT OF COMPARTMENTS  
IN A TRUSTED OPERATING SYSTEM



Group Art Unit: 2183

Examiner: (Not known)

**SPECIAL COMMUNICATION**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

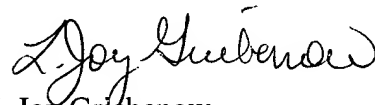
It was noted on the enclosed copy of Notice to File Missing Parts of Nonprovisional Application that the filing fee for the additional patent claims over 20 had not yet been paid. Applicants respectfully traverse this statement.

As seen on the enclosed true copy of the Patent Application Transmittal Letter accompanying the originally-filed patent application, the four (4) additional claims had been correctly identified and the additional filing fee (4 claims x \$18 = \$72) properly calculated for same. According to that Transmittal Letter, the Patent Office was authorized to charge the Deposit Account No. 08-2025 of Hewlett-Packard Company for all of the fees (\$72 for additional claims + \$710 basic fee = \$782) identified on that Transmittal Letter.

Moreover, after reviewing the Patent Office transaction log for this Deposit Account in connection with the filing of this patent application, it appears the Patent Office has already charged \$18 (one additional claim) in addition to the basic filing fee of \$720 to the Deposit Account 08-2025. Why the Patent Office only charged the Deposit Account for one (1) additional claim when it was directed to charge the Deposit Account for all four (4) additional claims is unknown to Applicants. However, Applicants reiterate that any further sums required to pay for the remaining additional claims should still be charged to Deposit No. 08-2025.

If there are any questions about the above, do not hesitate to telephone Applicants' undersigned representative at the below number.

Respectfully submitted,



L. Joy Griebenow  
Reg. No. 33,703  
Attorney for Applicants

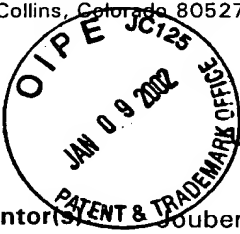
Date: November 21, 2001

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400  
(970) 898-3884

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

Patent Application

Attorney Docket No. 10013499 -1



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) Joubert Berger et al

Confirmation No.: 9535

Application No.: 09/896385

Examiner:

Filing Date: Jun 29, 2001

Group Art Unit:

Title: System And Method For Management Of Compartments In A Trusted Operating System

Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 9-27-2001. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$740.00 ( ) Design \$330.00

( ) Additional claim fees of \$ \*\*\*SEE ATTACHED SPECIAL COMMUNICATION\*\*\*

(X) Missing Parts Surcharge \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month \$110.00  
( ) two months \$400.00  
( ) three months \$920.00  
( ) four months \$1440.00

Please charge to Deposit Account 08-2025 the sum of \$130.00. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Joubert Berger et al

By L. Joy Griebenow  
L. Joy Griebenow

Attorney/Agent for Applicant(s)  
Reg. No. 33,704

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 11-21-2001

Typed Name: Cathi Christensen

Signature: Cathi Christensen

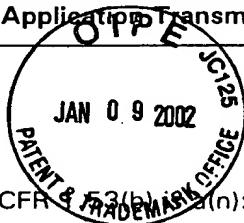
Date: Nov. 21, 2001

Telephone No.: (970) 898-3884

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IN THE U.S. PATENT AND TRADEMARK OFFICE  
Patent Application Transmittal Letter

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231



Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is/are: (X) Utility ( ) Design

(X) original patent application,  
( ) continuation-in-part application

INVENTOR(S): Joubert Berger et al.

TITLE: SYSTEM AND METHOD FOR MANAGEMENT OF COMPARTMENTS IN A TRUSTED  
OPERATING SYSTEM

Enclosed are:

(X) The Declaration and Power of Attorney. ( ) signed (X) unsigned or partially signed  
(X) 5 sheets of drawings (one set) ( ) Associate Power of Attorney  
( ) Form PTO-1449 ( ) Information Disclosure Statement and Form PTO-1449  
( ) Priority document(s) ( ) (Other) (fee \$ )

CLAIMS AS FILED BY OTHER THAN A SMALL ENTITY				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) TOTALS
TOTAL CLAIMS	24 — 20	4	X \$18	\$ 72
INDEPENDENT CLAIMS	3 — 3	0	X \$80	\$ 0
ANY MULTIPLE DEPENDENT CLAIMS	0		\$270	\$ 0
BASIC FEE: Design (\$320.00 ); Utility (\$710.00 )				\$ 710
TOTAL FILING FEE				\$ 782
OTHER FEES				\$
TOTAL CHARGES TO DEPOSIT ACCOUNT				\$ 782

Charge \$ 782 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

"Express Mail" label no. EL 315114529 US

Date of Deposit June 29, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Jody C. Bishop

Typed Name: Jody C. Bishop

Respectfully submitted,

Joubert Berger et al.

By

Jody C. Bishop

Attorney/Agent for Applicant(s)

Reg. No. 44,034

Date: June 29, 2001

Telephone No.: (214) 855-8007

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/896,385	06/29/2001	Joubert Berger	10013499-1

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400



CONFIRMATION NO. 9535

## FORMALITIES LETTER



\*OC000000006803652\*

Date Mailed: 09/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$54. \*\*\*SEE ATTACHED SPECIAL COMMUNICATION\*\*\*  
  - \$54 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 184.** \*\*\*SEE ATTACHED SPECIAL COMMUNICATION\*\*\*

*A copy of this notice **MUST** be returned with the reply.*

AM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 MBERHE 00000057 082025 09896385

01 FC:105 130.00 CH  
02 FC:103 54.00 CH